

Form 210A (10/06)

# United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Marc Gasparic	Neue Aargauer Bank AG		
Name of Transferee	Name of Transferor		
Name and Address where notices	Court Claim # (if known): 55814		
to transferee should be sent:	Date Claim Filed: October 29, 2009		
MARC GASPARIC SWISS POST BOX 103272 2URCHERSTRASSE 161 BOID ZÜRICH, SWITZERLAND	Amount of Claim: Portion of Claim Transferred (see Schedule I): see Evidence of Transfer of Claim Form		
Phone:	Phone:		
Last Four Digits of Acet #:	Last Four Digits of Acct. #: -		
Name and Address where transferee payments should be sent (if different from above):			
Phone:			
Last Four Digits of Acet #:			
I declare under penalty of perjury that the infor the best of my knowledge and belief.  By:	mation provided in this notice is true and correct to  Date: 19 August 2014		
Transferee/Transferee's Agent	Date. Torregue 2017		

Penalty for making a false statement. Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

#### **EVIDENCE OF TRANSFER OF CLAIM**

#### TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, Neue Aargauer Bank AG ("Transferor") unconditionally and irrevocably transferred to Marc Gasparic ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim Claim Nr. 55814 relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED ON August 13, 2014.

Neue Aargauer Bank AG

Name: Adrian Graf

Title: AVP

Name: Rita von Wyl

Title: AVP

## SCHEDULE I

## **Lehman Programs Securities Related to Transferred Portion of Claim:**

ISIN	Court Claim #	Date Claim Filed	Issuer	Number of Units or Currency and Nominal Amount
XS0268992145	55814	October 29, 2009	Lehman Brothers Treasury BV	USD 16,000

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Daniel Gottstein NEUE AARGAUER BANK AG daniel.gottstein@nab.ch

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Brugg, 25. November 2014

	gemäss Besprechung	zur Erledigung
	zur Kenntnisnahme	zur Stellungnahme
	zu Ihren Akten	zur Besprechung
	auf Ihren Wunsch	zur Unterschrift/Visum
	mit Bitte um Rückgabe	mit Bitte um Anruf
	mit Dank zurück	mit Bitte um Vorakten
П	irrtümlich an uns gelangt	

on behalf of our client Mister Marc Gasparic

Freundliche Grüsse

NEUE AARGAUER BANK AG

A-PRIORITY

P.P. CH-5201 Brugg

Postech 529



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DEC 01 2014

UNITED STATES BANKRUPTCY COURT
Southern Jistrict of New York
Lehman Brothers Holdings Claims floc. Conts
c/o Epig Bankrupley Solutions LLC
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New York, NY 10017